

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 71246.010100-F003 U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 107578343
INTERNATIONAL APPLICATION NO. PCT/GB2004/004697	INTERNATIONAL FILING DATE 8 Nov. 2004	PRIORITY DATE CLAIMED 6 Nov. 2003
TITLE OF INVENTION Subliminal Audio Burglar Deterrent		
APPLICANT(S) FOR DO/EO/US HAWKES, Gary J. and HAWKES, Stuart G.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> <i>is not necessary as the original application was in English.</i> b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 107578343	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER																			
20. Other items or information: <p style="text-align: center;">Acknowledgement postcard</p>																					
The following fees have been submitted 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500 TOTAL OF 21, 22 and 23 =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE ONLY</th> </tr> <tr> <td>\$ 300</td> <td></td> </tr> <tr> <td>\$ 200</td> <td></td> </tr> <tr> <td>\$ 400</td> <td></td> </tr> <tr> <td>900</td> <td></td> </tr> </table>		CALCULATIONS	PTO USE ONLY	\$ 300		\$ 200		\$ 400		900									
CALCULATIONS	PTO USE ONLY																				
\$ 300																					
\$ 200																					
\$ 400																					
900																					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">Total Sheets</th> <th style="width: 15%;">Extra Sheets</th> <th style="width: 30%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width: 40%;">RATE</th> </tr> <tr> <td>- 100 =</td> <td>/50 =</td> <td></td> <td>x \$250</td> </tr> </table>		Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	- 100 =	/50 =		x \$250	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).</td> <td style="width: 50%;">\$</td> </tr> </table>		Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).	\$								
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																		
- 100 =	/50 =		x \$250																		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).	\$																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 15%;">NUMBER FILED</th> <th style="width: 15%;">NUMBER EXTRA</th> <th style="width: 40%;">RATE</th> </tr> <tr> <td>Total claims</td> <td>19 - 20 =</td> <td>0</td> <td>x \$ 50</td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td>0</td> <td>x \$200</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$360</td> </tr> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	19 - 20 =	0	x \$ 50	Independent claims	2 - 3 =	0	x \$200	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">TOTAL OF ABOVE CALCULATIONS =</td> <td style="width: 50%;">\$ 900</td> </tr> </table>		TOTAL OF ABOVE CALCULATIONS =	\$ 900
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																		
Total claims	19 - 20 =	0	x \$ 50																		
Independent claims	2 - 3 =	0	x \$200																		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360																		
TOTAL OF ABOVE CALCULATIONS =	\$ 900																				
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">450.00</td> <td style="width: 50%;">\$</td> </tr> </table>		450.00	\$																
450.00	\$																				
SUBTOTAL =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">450.00</td> <td style="width: 50%;">\$</td> </tr> </table>		450.00	\$																
450.00	\$																				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). <div style="text-align: right;">+</div>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">\$</td> <td style="width: 50%;">\$</td> </tr> </table>		\$	\$																
\$	\$																				
TOTAL NATIONAL FEE =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">450.00</td> <td style="width: 50%;">\$</td> </tr> </table>		450.00	\$																
450.00	\$																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property <div style="text-align: right;">+</div>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">\$</td> <td style="width: 50%;">\$</td> </tr> </table>		\$	\$																
\$	\$																				
TOTAL FEES ENCLOSED =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">450.00</td> <td style="width: 50%;">\$</td> </tr> </table>		450.00	\$																
450.00	\$																				
		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Amount to be refunded:</td> <td style="width: 50%;">\$</td> </tr> </table>		Amount to be refunded:	\$																
Amount to be refunded:	\$																				
		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Amount to be charged</td> <td style="width: 50%;">\$</td> </tr> </table>		Amount to be charged	\$																
Amount to be charged	\$																				

10/578343

IAP12 Rec'd PCT/PTO 05 MAY 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☒ A check in the amount of \$ 450 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 502428. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Douglas B. Teaney, Esq.
Greenberg Traurig, LLP
77 W. Wacker Drive, Suite 2500
Chicago, Illinois 60601
Tel. 312-456-8400
Fax 312-456-8435


SIGNATURE

Douglas B. Teaney
NAME

33459
REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Apln of: Hawkes et al.
Case No.: 71246.010100-F003
Serial No.: To be assigned
Filed: I. A. Filing Date - 8 Nov. 2004
For: US National Phase Patent Application of
PCT/GB2004/004697

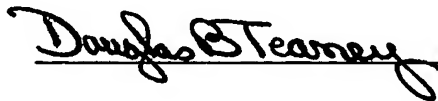
SUBLIMINAL AUDIO BURGLAR DETERRENT

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Label No. EV 490 934 676 US

Date of Deposit – May 5, 2006

I hereby certify that this patent application with related materials is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR. Section 1.10 on the date indicated above and is addressed to Commissioner for Patents, Post Office Box 1450, Alexandria, VA 22313-1450.



10/578343

IAP12 Rec'd PCT/PTO 05 MAY 2006

IN RE
APPLICATION OF: Gary J. HAWKES and Stuart G.
HAWKES

CASE: 71246.010100-F003

PRELIMINARY
AMENDMENT

SERIAL NO: TO BE ASSIGNED

FILED ON: I.A. filing date of 8 November 2004

FOR: US NATIONAL PHASE OF
PCT/GB2004/004697
SUBLIMINAL AUDIO BURGLAR
DETERRENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ATTENTION OF:
Examiner:

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Label No. EV 490 934 676 US

Date of Deposit – May 5, 2006

I hereby certify that this patent application with related materials is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR. Section 1.10 on the date indicated above and is addressed to Commissioner for Patents, Post Office Box 1450, Alexandria, VA 22313-1450.

